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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mark J. Smith

Serial No.: 10/037,430

Filed: October 25, 2001

Title: SYSTEMS AND METHODS FOR WEALTH MANAGEMENT

Examiner: Clement B. Graham

Group Art Unit: 3628

Docket: 104.0010001

MS NON-FEE AMENDMENT

Commissioner for Patents

P.O. BOX 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

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Amendment and Response Under 37 C.F.R. §1.111 (12 pgs.)

CERTIFICATE UNDER 37 C.F.R. 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: **MS NON-FEE AMENDMENT**
Commissioner for Patents, Alexandria, VA 22313-1450, on this

16th day of July, 2003.

Name

Sarah L. Reinhard

Signature

Sarah L. Reinhard

Respectfully Submitted,
Mark J. Smith

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